



Attorney's Docket No.: <u>056267-0003</u>

# United States Patent Application COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

COUNTRY (OR INDICATE IF PCT)

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: FRACTIONALIZED CUBE MODULAR CONSTRUCTION SYSTEM,

| the specification of which: (a) [X] is attached hereto.  |  |  |   |
|--|--|--|---|
| (b) [ ] was filed on   | as Serial No.  |  |   |
| for which I solicit a United States pat  |  |  |   |
| I hereby declare that the subject matter invented before the filing date of the control of the c |  |  |   |
| ACKNOWLEDGMENT OF REVI<br>I hereby state that I have reviewed an<br>including the claims, as amended by a<br>information which is material to pater<br>which is material to the examination of<br>likelihood that a reasonable examiner<br>application to issue as a patent (see la  | d understand the content<br>any amendment referred<br>intability as defined in 3°<br>of this application, name<br>would consider it impo   | ts of the above ide<br>to above. I acknow<br>7 Code of Federal<br>ely, information winter<br>rtant in deciding w | entified specification, owledge the duty to disclose Regulations § 1.56, and here there is a substantial  |
| PRIORITY CLAIM (35 U.S.C. § 1 I hereby claim foreign priority benefit application(s) for patent or inventor's least one country other than the Unite foreign application(s) for patent or in at least one country other than the Un a filing date before that of the applicationder § 111(b) of Title 35 (35 U.S.C. [X] no such applications have [ ] such applications have before the provisional of th | ts under Title 35, United certificate or of any PC and States of America list ventor's certificate or arbited States of America station(s) of which priority § 119(b)).  We been filed.  een filed as follows. | T international apped below and have below and have by PCT internation filed by me on the y is claimed, or a p   | plication(s) designating at<br>e also identified below any<br>nal application(s) designating<br>same subject matter having<br>provisional application filed |
| DESIGN) PRIOR TO THIS APPLICA  | ATION, CLAIMING P  | CORITY UNDER   | 35 U.S.C. § 119   |
| COUNTRY (OR INDICATE IF PCT)   | APPLICATION NUMBER   | DATE OF FILING (day, month, year)  | PRIORITY CLAIMED UNDER 37 USC § 119  [ ] YES [ ] NO   |
| FOREIGN/PCT APPLICATION(S) F   | ILED BEFORE THE P  | RIORITY APPLIC   |   |

APPLICATION NUMBER

DATE OF FILING

(day, month, year)

DATE OF ISSUE





# PRIORITY CLAIM (35 U.S.C. § 120/365)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT International application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material

information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. APPLICATION NUMBER | DATE OF FILING (day, month, year) | STATUS (patented, pending, abandoned) |  |
|-------------------------|-----------------------------------|---------------------------------------|--|
|                         |                                   |                                       |  |
|                         |                                   |                                       |  |

#### **POWER OF ATTORNEY**

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Nicholas A. Kees; Reg. No. 29,552 Adam L. Brookman; Reg. No. 32,401 Brian G. Gilpin; Reg. No. 39,997 William K. Baxter, Reg. No. 41,606 Denise L. Stoker, Reg. No. 47,111

#### SEND CORRESPONDENCE TO:

William K. Baxter Godfrey & Kahn, S.C. 780 North Water Street Milwaukee, WI 53202 Tel. (414) 273-3500

#### **DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

#### **Full Name of First Inventor**

| Robert                      | <u>W.</u>                            | <u>Pries</u>          |  |
|-----------------------------|--------------------------------------|-----------------------|--|
| (GIVEN NAME)                | (MIDDLE INITIAL OR NAME)             | (FAMILY OR LAST NAME) |  |
| Country of Citizenship:     | U.S.A.                               |                       |  |
| Residence: 201 Pecor Street | et, P.O. Box 32, Oconto, Wisconsin 5 | 4153                  |  |
| Post Office Address:        | Same                                 |                       |  |
| Inventor's Signature:       | The Puis Da                          | te: 4/12/01           |  |



### RULE 56 (37 U.S.C. §1.56)

- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office. This includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information that is material to the patentability of a canceled or withdrawn claim need not be submitted if the information is not material to the patentability of any of the remaining claims. There is no duty to submit information that is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by 37 C.F.R. §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

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**PATENT** 

Attorney's Docket No.: 056267-0003

Applicant: Robert W. Pries

Serial or Patent No.: Not Yet Assigned

Filed: April 13, 2001

For: FRACTIONALIZED CUBE MODULAR CONSTRUCTION SYSTEM

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS 37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled: FRACTIONALIZED CUBE MODULAR CONSTRUCTION SYSTEM described in a U.S. patent application filed April 13, 2001.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

|  | we assigned, granted, conveyed, or licensed or am under an invey, or license any rights in the invention is listed below:  elow*   |
|--|--|
| *NOTE: Separate verified statements are required fro<br>averring to their status as small entities. (37 CFR 1.27   | om each named person, concern or organization having rights to the invention 7).   |
| FULL NAME:   |  |
| ADDRESS:   |  |
| [ ] INDIVIDUAL [ ] SMALL BUSINESS  | CONCERN [ ] NONPROFIT ORGANIZATION   |
| entitlement to small entity status prior to paying, or<br>maintenance fee due after the date on which status a<br>I hereby declare that all statements made herein of r<br>information and belief are believed to be true; and f | patent, notification of any change in status resulting in loss of at the time of paying, the earliest of the issue fee or any is a small entity is no longer appropriate. (37 CFR 1.28(b)).  The paying the earliest of the issue fee or any is a small entity is no longer appropriate. (37 CFR 1.28(b)).  The paying in loss of the issue fee or any is a small entity is no longer appropriate. (37 CFR 1.28(b)).  The paying in loss of the issue fee or any is a small entity is no longer appropriate. (37 CFR 1.28(b)). |
|  | such willful false statements may jeopardize the validity of   |
| the application, any patent issuing thereon, or any p  | · · · · · · · · · · · · · · · · · · ·  |
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